

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview

1. **Date of Submission:** 2011-01-14

2. **Agency:** 010

3. **Bureau:** 76

4. **Name of this Investment:** BIA - Facilities Management Information System (FMIS)

5. **Unique Project (Investment) Identifier (UPI):** 010-76-01-07-01-7613-00

6. **What kind of investment will this be in FY 2012?:** Operations and Maintenance

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB?** FY2001 or earlier

8.

- a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

FMIS supports the mission of the Office of Facilities Management and Construction to ensure the efficient and effective stewardship of resources for planning, design, construction, improvement, repair, and operation and maintenance of BIA-owned and BIA-funded Indian Education, Law Enforcement and General Administration program support facilities. FMIS provides the functionality and business process features that will provide information to manage BIA facilities over their entire useful life. FMIS contains modules to support Asset Inventories, Deferred Maintenance Backlogs, Safety Management Inspections/Abatement, Construction Project Management, Budget Development and Tracking, Field Work Tickets, Field Work Planning, Environmental, and Ad Hoc Reporting. FMIS is used to justify funds and account for funds appropriated to operate, maintain and repair BIA facilities as well as provide program management capabilities for the scheduling and planning of facilities management activities. The system is used for recording the identification of all improvement, repair, health and safety issues abatement, and construction projects plus the allocation of funds for these purposes. It is also used to monitor new construction and all facilities improvement and repair activities from conception to conclusion. The system also serves as an on-going communications link with its users. It supplies management planning, engineering, operations and maintenance, and fiscal control to central office, area and agency offices, and school locations. FMIS has been well received by the user/customer community. FMIS is a Steady State investment and costs are reviewed as part of the OFMC CPIC process on a continuing basis to make sure continued maintenance and upgrades are within budget and within schedule.

- b. **Provide any links to relevant websites that would be useful to gain additional information on the investment including links to GAO and IG reports.**

Title	Link
NONE	

9.

- a. **Provide the date of the Agency's Executive/Investment Committee approval of this investment.**
2010-03-18
- b. **Provide the date of the most recent or planned approved project charter.** 1994-10-01

10. **Contact information?**

- a. **Program/Project Manager Name:** *
Phone Number: *
Email: *
- b. **Business Function Owner Name (i.e. Executive Agent or Investment Owner):** John Rever, Dir.,
Facilities, Environmental And Cultural Resources
Phone Number: *
Email: *

11. What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA): Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.

- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Planning & Acquisition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition(DME):	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
Operations, Maintenance, Disposition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal O&M and Disposition Costs (SS):	*	*	*	*	*	*	*	*	*
TOTAL FTE Costs	*	*	*	*	*	*	*	*	*
TOTAL (not including FTE costs):	*	*	*	*	*	*	*	*	*
TOTAL (including FTE costs):	*	*	*	*	*	*	*	*	*
Number of FTE represented by	*	*	*	*	*	*	*	*	*

Table I.B.1: Summary of Funding
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Costs:									

2. Insert the number of years covered in the column “PY-1 and earlier”: 10

3. Insert the number of years covered in the column “BY+4 and beyond”: *

4. If the summary of funding has changed from the FY 2011 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
Awarded	1450	INICFS16090024	INICBK16090003		*	*	\$10.0	Firm Fixed Price	N	2009-10-01	2013-09-30	Y	Provide OFMC Desktop Support
Awarded	1450	INICFS16090026	INICBK16090003		*	*	\$10.0	Firm Fixed Price	N	2009-10-01	2010-09-30	Y	Provide FMIS Development, Maintenance and Support to OFMC
Awarded	1450	INICFS16090027	INICBK16090003		*	*	\$259.2	Firm Fixed Price	N	2009-10-01	2013-09-30	Y	Provide ITIMS and FMIS Help Desk TIER II to Transportation and OFMC
Awarded	1450	INICFS16090028	INICBK16090003		*	*	\$170.6	Firm Fixed Price	N	2009-10-01	2010-09-30	Y	Provide Transportation and OFMC OIO Systems Support

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
Awarded	1450	INICFS16090028	INICBK16090003		*	*	\$160.6	Firm Fixed Price	N	2009-10-01	2010-09-30	Y	Provide Transportation and OFMC OIO Systems Support

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3.

- a. Has an Acquisition Plan been developed? If yes, please answer the questions that follow *
- b. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 *
- c. Was the Acquisition Plan approved in accordance with agency requirements *
- d. If "yes," enter the date of approval? *
- e. Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? *
- f. Does the acquisition plan meet the requirements of EOs 13423 and 13514? *
- g. If an Acquisition Plan has not been developed, provide a brief explanation.

*

Part II: IT Capital Investments

Section A: General

1.
 - a. Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control. yes
 - b. If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education. yes
2. Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment. FMIS will be retired in 2013 and therefore is not a candidate for cloud computing.
3. Provide the date of the most recent or planned Quality Assurance Plan 2010-09-30
4.
 - a. Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment. 010-00-01-07-03-0031-00
 - b. If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s).
5. An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment. 2010-09-30
6. Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated. 2010-08-04

Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Enhancements FMIS FY02	DME	*	\$1.2	\$1.2	1994-10-01	1994-10-01	2002-09-30	2002-09-30	100.00%	100.00%
Investment retired	SS	*	*	*	2013-10-01	*	2013-10-01	*	*	*
O&M for FMIS prior to FY07	SS	*	\$14.5	\$14.5	2002-10-01	2002-10-01	2006-09-30	2006-09-30	100.00%	100.00%
O&M for FMIS FY07	SS	*	\$1.4	\$1.5	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
O&M for FMIS FY08	SS	*	\$1.1	\$1.1	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
O&M for FMIS FY09	SS	*	\$1.3	\$1.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
O&M for FMIS FY10	SS	*	\$1.0	\$1.1	2009-10-01	2009-10-01	2010-09-30	2010-09-30	100.00%	100.00%
O&M for FMIS FY11	SS	*	\$1.0	\$0.3	2010-10-01	2010-10-01	2011-09-30		66.67%	66.67%
O&M for FMIS FY12	SS	*	\$1.0	\$0.0	2011-10-01		2012-09-30		0.00%	0.00%
O&M for FMIS FY13	SS	*	*	*	2012-10-01	*	2013-09-30	*	*	*

2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion. NA

3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis. 2010-06-25

4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation? yes

Section C: Financial Management Systems

Table II.C.1: Financial Management Systems			
System(s) Name	System acronym	Type of Financial System	BY Funding
*	*	*	*

Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

Table II.D.1. Customer Table:	
Customer Agency	Joint exhibit approval date
NONE	

Table II.D.2. Shared Service Providers		
Shared Service Provider (Agency)	Shared Service Asset Title	Shared Service Provider Exhibit 53 UPI (BY 2011)
*	*	*

Table II.D.3. For IT Investments, Partner Funding Strategies (\$millions):							
Partner Agency	Partner exhibit 53 UPI (BY 2012)	CY Monetary Contribution	CY “In-Kind” Contribution	CY Fee-for-Service	BY Monetary Contribution	BY “In-Kind” Contribution	BY Fee-for-Service
NONE							

Table II.D.4. Legacy Systems Being Replaced		
Name of the Legacy Investment of Systems	Current UPI	Date of the System Retirement
*	*	*

Section E: Performance Information

Table I.E.1a. Performance Metric Attributes

Measurement Area (For IT Assets)	Measurement Grouping (For IT Assets)	Measurement Indicator	Reporting Frequency	Unit of Measure	Performance Measure Direction	Baseline	Year Baseline Established for this measure (Origination Date)
Mission and Business Results	Strategic Planning	Eliminate 100% of excess academic space from inventory as of September 2004 (or 300,000 square feet per year) PART	annual	Square feet of excess space	decrease	300,000 sq feet	2005-09-02
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	300,000 sq ft	464,699 sq ft	Not Met	2010-09-15
Mission and Business Results	Strategic Planning	Eliminate 100% of excess academic space from inventory as of September 2004 (or 300,000 square feet per year) PART	annual	Square feet of excess space	decrease	300,000 per year	2005-09-02
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	300000 sq ft	314949 sq ft	Not Met	2010-09-15
Mission and Business Results	Strategic Planning	Eliminate 100% of excess academic space from inventory as of September 2004 (or 300,000 square feet per year) PART	2009	300000	201350	Met	2010-09-15
			annual	Square feet of excess space	decrease	300,000 per year	2005-09-02
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated

			2010	300000	Did not surplus any schools	Not Met	2011-02-15
			2011	300000	To be determined by 11/30/11	Not Due	2010-09-15
			2012	300000	To be determined by 11/30/12	Not Due	2010-09-15
			2013	300000	To be determined by 11/30/13	Not Due	2010-09-15
Mission and Business Results	Strategic Planning	Identify as excess 300,000 square feet of the BIA building square footage annually	annual	Square feet of excess space	decrease	The identified square footage of BIA buildings is currently 1,924,249	2005-09-02
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2006	Excess 300,000 square feet of BIA buildings	304,473 of excess square feet were identified	Not Met	2010-09-15
Customer Results	Service Efficiency	Percent of BIA/BIE school facilities in acceptable condition as measured by the Facilities Condition Index (lower FCI number is good) SP and PART	annual	Percent of schools with acceptable condition	increase	35% (2006 actual)	2005-09-02
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	37%	39%	Met	2010-09-15
Customer Results	Service Efficiency	Percent of BIA/BIE school facilities in acceptable condition as measured by the Facilities Condition Index (lower FCI number is	annual	Percent of schools with acceptable condition	increase	35% (2006 actual)	2005-09-02

		good) SP and PART					
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	47%	45%	Not Met	2010-09-15
			2009	52%	52%	Met	2010-09-15
			2010	58%	58%	Met	2011-02-15
Customer Results	Service Efficiency	Percent of BIA/BIE school facilities in acceptable condition as measured by the Facilities Condition Index (lower FCI number is good) SP and PART	annual	Percent of schools with acceptable condition	increase	35% (2006 actual)	2005-09-02
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	61%	To be determined by 11/30/11	Not Due	2010-09-15
			2012	61%	To be determined by 11/30/12	Not Due	2010-09-15
			2013	61%	To be determined by 11/30/13	Not Due	2010-09-15
Processes and Activities	Complaints	Percent of projects started in year of appropriation (Replacement, New Facility, and Major Improvement and Repair.) PART	annual	percent of schools started in year of appropriation	increase	20% (2006 actual)	2005-09-02
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	42%	29%	Not Met	2010-09-15

Processes and Activities	Productivity	Percent of projects started in year of appropriation (Replacement, New Facility, and Major Improvement and Repair.) PART	2008	100%	100%	Met	2010-09-15
			annual	percent of schools started in year of appropriation	increase	20% (2006 actual)	2005-09-02
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	100%	100%	Met	2011-02-15
Processes and Activities	Productivity	Percent of projects started in year of appropriation (Replacement, New Facility, and Major Improvement and Repair.) PART	annual	percent of schools started in year of appropriation	increase	20% (2006 actual)	2005-09-02
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	100%	Did not start any new schools	Not Met	2011-02-15
			2011	42%	To be determined by 11/30/11	Not Due	2010-09-15
			2012	42%	To be determined by 11/30/12	Not Due	2010-09-15
			2013	42%	To be determined by 11/30/13	Not Due	2010-09-15
Processes and Activities	Complaints	Percentage of replacement schools and major improvement and	annual	Percent of replacement schools completed within two years	increase	0% (2006 actual)	2005-09-02

repair projects
constructed within 2
years of commencement
of the project. PART

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2007	53%	53%	Met	2010-09-15
2008	100%	100%	Met	2010-09-15

Processes and Activities	Cycle Time	Percentage of replacement schools and major improvement and repair projects constructed within 2 years of commencement of the project. PART	annual	Percent of replacement schools completed within two years	increase	0% (2006 actual)	2005-09-02
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Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2009	100%	73%	Not Met	2010-09-15

Processes and Activities	Cycle Time	Percentage of replacement schools and major improvement and repair projects constructed within 2 years of commencement of the project. PART	annual	Percent of replacement schools completed within two years	increase	0% (2006 actual)	2005-09-02
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Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2010	94%	94%	Met	2011-02-15
2011	100%	To be determined by 11/30/11	Not Due	2010-09-15
2012	100%	To be determined by 11/30/12	Not Due	2010-09-15

			2013	100%	To be determined by 11/30/13	Not Due	2010-09-15
Mission and Business Results	Community and Regional Development	Provide for an increase of 20% in the number of replacement schools constructed in four years or less	annual	Percent of replacement schools completed within four years	increase	53% of Construct replacement schools are completed within four years from Planning, Design through Construction	2005-09-02
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2006	59% Percent of Construction replacement schools completed within four years	59 % of construction replacement schools were completed within four years.	Met	2010-09-15
Technology	Availability	System available to generate the monthly Facilities Condition Index (FCI) and Backlog Reduction Reports on time.	annual	Percent of monthly FCI reports generated on time	increase	95 %	2005-09-02
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	100%	98%	Not Met	2010-09-15
Technology	Availability	System available to generate the monthly Facilities Condition Index (FCI) and Backlog Reduction Reports on time.	annual	Percent of monthly FCI reports generated on time	increase	95%	2005-09-02
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	100%	100%	Met	2010-09-15
			2009	100%	100%	Met	2010-09-15

Technology

Availability

System available to generate the monthly Facilities Condition Index (FCI) and Backlog Reduction Reports on time.

annual

Percent of monthly FCI reports generated on time

increase

95%

2005-09-02

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2010	100%	100%	Met	2011-02-15
2011	100%	To be determined by 11/30/11	Not Due	2010-09-15
2012	100%	To be determined by 11/30/12	Not Due	2010-09-15
2013	100%	To be determined by 11/30/13	Not Due	2010-09-15

* - Indicates data is redacted.